

SECTION #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard A. Berg

Group Art Unit: 1633

Serial No. 09/649,859

Examiner: Clark, D.

Filed: August 28, 2000

Attorney Docket No. C94-007-1-D4

For: *Production of Human Recombinant  
Collagen in the Milk of Transgenic Animals*

This application claims priority to USSN  
09/232,740, filed Jan. 15, 1999, now US Pat  
No 6,111,165.

CERTIFICATE OF MAILING

I hereby certify that this corr. is being deposited with the US Postal  
Service as First Class Mail in an envelope addressed to the Comm.  
for Patents, Washington, D.C. 20231 on January 3, 2001.

Signed

Richard Osman

RESPONSE TO NOTICE TO FILE MISSING PARTS

The Commissioner for Patents  
Washington, DC 20231

Dear Commissioner:

In response to the Notice to File Missing Parts mailed November 3, 2000, enclosed please find:

Copy of Notice (1p); Request for corrected Filing Receipt and copy of Filing Receipt (2p);  
Inventor's declaration (1p); Power of Attorney (1p); IDS and PTO 1449 (4p); Assignments and  
Recordation Cover sheets (4p); check no. 2527 for \$1,000.00 (710 + 130 + 80 + 80); return  
postcard.

Applicants hereby petition for any necessary extension of time pursuant to 37 CFR 1.136(a). The  
Commissioner is hereby authorized to charge any other fees or credit any overcharges associated  
with this communication to our Deposit Account No. 19-0750 (order no. C94-007-1-D4).

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., Reg. No. 36,627  
Tel: (650) 343-4341; Fax: (650) 343-4342

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Signed

Rebecca Graff

REQUEST FOR CORRECTED FILING RECEIPT

The Commissioner for Patents  
Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Dear Commissioner:

Errors have been noted on the Filing Receipt for this application.

The Continuing Data as Claimed by Applicant is incorrect. Please include the continuing data as follows:

**This application is a CON of 09/232,740, filed January 15, 1999, PAT No. 6,111,165, which is a CON of 08/485,194, filed June 7, 1995, PAT No. 5,895,833, which is a DIV of 08/183,648, filed January 18, 1994, PAT No. 5,667,839, which is a CON 08/011,643, filed January 28, 1993, ABN**

Kindly make the corrections as indicated on the attached copy of the Filing Receipt and send me the corrected Receipt at your earliest convenience.

Thank you.

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., #36,627  
Tel:(650)343-4341; Fax:(650) 343-4342

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/649,859	08/28/2000	1632	0	C94-007-1-D4		9	4

23379  
RICHARD ARON OSMAN  
SCIENCE AND TECHNOLOGY LAW GROUP  
75 DENISE DRIVE  
HILLSBOROUGH, CA 94010

## FILING RECEIPT



\*OC000000005530978\*



Date Mailed: 11/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard A. Berg, Residence Not Provided;

## Continuing Data as Claimed by Applicant

~~THIS APPLICATION IS A CIP OF 08/011,643 01/28/1993 ABN~~

## Foreign Applications

THIS APPLN. IS A CDN OF 09/232,740 1/15/99 PAT. 6,111,165  
WHICH IS A CDN OF 08/485,194 6/7/95 PAT. 5,895,833  
WHICH IS A DIV OF 08/183,648 1/18/94 PAT. 5,667,839  
WHICH IS A CDN OF 08/011,643 1/28/93 ABN

If Required, Foreign Filing License Granted 10/10/2000

## Title

Production of human recombinant collagen in the milk of transgenic animals

## Preliminary Class

800

Data entry by : ABRAHA, ZEWDITU

Team : OIPE

Date: 11/03/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/649,859	08/28/2000	Richard A. Berg	C94-007-1-D4

23379  
RICHARD ARON OSMAN  
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75 DENISE DRIVE  
HILLSBOROUGH, CA 94010



## FORMALITIES LETTER



\*OC000000005530979\*

Date Mailed: 11/03/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$78.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 898.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/11/2001 AGDITOM 00000054 09649859  
710.00 OP  
130.00 OP  
80.00 OP  
01 FC:101  
02 FC:105  
03 FC:102